

TRANSMITTAL FORM

Total Number of Pages in This Submission

DEC 1 8 2002 (to be used for all correspondence after initial filing)

Application No. 09/904,175 Filing Date July 11, 2001 First Named Inventor DUONG, et al. Examiner Name Betty J. Forman 🕉 oup Art Unit 1634 Attorney Docket No. A-68718-3

ENCLOSURES (check all that apply) **Assignment Papers** After Allowance Communication to \boxtimes Fee Transmittal Form (for an Application) Group \boxtimes **Appeal Communication to Board** Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group \boxtimes Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition **Proprietary Information** \boxtimes Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Other Enclosure(s) **Extension of Time Request** Revocation Change of \boxtimes Correspondence Address (please identify below): Petition under 37 C.F. R. § 1.48(b) **Express Abandonment Request** Terminal Disclaimer Request to Correct Inventorship Information Disclosure Statement Request for Refund Certified Copy of Priority CD, No. of CD(s) Document(s) Remark Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Dorsey & Whitney LLP Renee M. Kosslak, Reg. No. 47,717 for Robin M. Silva, Reg. No. 38,304 Individual name Signature Date

	CERTIFICATE OF MAIL	ING	
I hereby certify that this cor as firs class mail in an enve	respondence is being deposited with the United States Policy addressed to Commissioner for Patents, Washington	ostal Service with S n, DC 20231 on this	ufficient postage 12///62
Typed or printed name	Mary McFarland		• 1
Signature	Mary M Farland	Date	12/11/02

DEC 1 18 5005 APPLICATION
TRANSMITTAL SHEET
(FOR FY 2002)

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Application No.	งฮ <i>เ</i> ชี04,175	RECEIVED						
Filing Date	July 11, 2001	DEC 2 0 2002						
First Named Inventor	DUONG, et al.	-						
Group Art Unit	1634	TECH CENTER 1600/2900						
Examiner Name	Betty J. Forman							
Atty. Docket Number and Title:	A-68718-3 Devices and Meth	ods for Biochip Multiplexina						

Title:								Devices and Methods for Biochip Multiplexing				
METHOD OF PAYMENT (Check One)									FEE	CALCU	ILATION (Continued)	
The Commissioner is hereby authorized to charge indicated fees							3. ADD	ITIONAL	FEES			***
and credit any over payments to: Deposit Account No.: 50-2319							Large	Entity	Small	Entity		
Deposit Account Name: DORSEY & WHITNEY LLP							Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
 ☐ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 ☐ Applicant claims small entity status (see 37 C.F.R. 1.27) 							407	ro.			Surcharge - late provisional filing	
			entity st	tatus (see 3	37 C.F.R. 1.2	7)	127	50	227	25	fee or cover sheet	
2.								130	205	65	Surcharge – Late nonprovisional filing fee or oath	
4 54010	=======================================		CAL	CULATIO	N	·	126	180	126	180	Submission of IDS	
1. BASIC FILING FEE							581	40	81	40	Recording each patent assignment per property (times	
Large E	ntity	Small En	tity				1 76	e - 1 avo . 5	*	Ser a	number of properties)	
Fee Code	Fee <u>(\$)</u>	Fee Code	Fee	Fee De	escription		115	110	215	55	Extension for reply within first month	
			<u>(\$)</u>				116	400	216	200	Extension for reply within second month	
114	160	214	80	∐ Pro	v. Filing Fee		117	920	217	460	Extension for reply within third month	
101	740	201	370	Util	lity Filing Fee		118	1,440	218	720	Extension for reply within fourth month	
106	330	206	165	☐ Des	sign Filing Fe	e	128	1,960	280	980	Extension for reply within fifth month	
108	740	208	370		issue Filing F		146	740	246	370	Submission After Final 1.129	
100	740	200	370		issue Filling F	ee e	119	320	219	160	Notice of Appeal	
				Subt	otal (1)		120	320	220	160	Filing a brief in support of an appeal	
2. EXTRA	CLAIM	FEES					121	280	221	140	Request for oral hearing	
	Number Claims	r Prior		Extra	Fee from Below*	Fee Paid	148	110	248	55	Terminal Disclaimer Fee	
Total		- 20	=	x	=	=	122	130	122	130	Petitions to the Commissioner	\$130.
Indep.		- 3	_ = :	x	=		123	50	123	50	Petitions related to provisional applications	
Multiple Dependent Claims x =						142	1,280	242	640	Utility/Reissue Issue Fee		
					Subtotal (2	2)	143	460	242	230	(including advance copies) Design Issue Fee (inc. advance	
•						•	179	740	279	370	copies) Request for Continued	
Large Ent	ity	Small Entity	,				195	300	195	300	Examination (RCE) Publication fee for early,	
Fee Code	Fee (<u>\$)</u>	Fee <u>Code</u>	Fee (\$)	Fee De	escription		196	300	196	300	voluntary, or normal publication	
103	18	203	8 7का	Claims	in excess of 20)	140	110			Publication fee for re-publication	
102	84	202	42	Indepe	ndent claims in	excess of 3	141	1,280	240 241	55 640	Petition to Revive – unavoidable Petition to Revive – unintentional	
104	280	204	140	Multiple	Multiple dependent Claim Reissue independent claims over original patent			-			- Constitution to the transfer of the transfer	
109	84	209	42					FEE (spec		ubtotal (3)	
110 18 · 210 9 Reissue claims in excess of 20 and over original patent												
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Signature:

Name: Renee M. Kosslak Reg. No.: 47,717 Telephone: (415) 781 1989

Date:

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TECH CENTER 1600/2900

PATENT

Attorney Docket No. A-68718-3 (463037-219)/RFT/RMS/RMK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

DUONG, et al.

Serial No.: 09/904,175

Filed: July 11, 2001

For: Devices and Methods for

Biochip Multiplexing

Group No. 1634

Examiner: Betty J. Forman

CERTIFICATE OF MAILING

I hereby certify that this correspondence and its listed enclosures are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: BOX NON-FEE AMENDMENT, Commissioner for Patents, Washington, DC 20231 on:

Signature

Mary McFarland

SUPPLEMENTAL RESPONSE TO RESTRICTION REQUIREMENT

BOX NON-FEE AMENDMENT Commissioner for Patents Washington, DC 20231

Sir:

This paper is submitted as a supplemental response to the Restriction Requirement mailed September 17, 2002. Although no fees are believed necessary at this time, the Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Order No. A-68718-3 (463037-219)/RFT/RMS/RMK).

Please amend the above identified application as follows:

In the Specification:

1099169_1.DOC

-1-

Please replace the paragraph beginning on page 1 line 2 with the following rewritten paragraph:

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--This application claims the benefit of the priority date of U.S.S.N. 60/175,539, filed January 11, 2000 and U.S.S.N. 60/245,840, filed November 3, 2000. This application is a continuation of U.S.S.N. 09/760,384, filed January 11, 2001 and PCT application US01/01150, filed January 11. 2001, priority of the listed applications is claimed under 35 U.S.C. § 119(e)/120/121.--

REMARKS

Applicants note that election of the claims of Group I in Response to the Restriction Requirement mailed September 17, 2002, changes inventorship of the present invention.

Accordingly, inventorship of the present application has been corrected pursuant to C.F.R. §

1.48(b) (see enclosed Request to Correct Inventorship). As stated in the Request, election of the claims of Group I resulted in a situation where Hau H. Duong, Gary Blackburn, Gary T. Olsen, Robert Pietri, Nathan Swami and Robert H. Terbrueggen are no longer inventors of the claimed subject matter. Therefore, the inventors of the presently claimed subject matter are Jon F. Kayyem and Stephen D. O'Connor.

In addition, Applicants are amending the specification to correct a typographical error in the serial number for U.S.S.N. 60/245,840, filed November 3, 2000. This correction also appears in the declaration, which has been revised to reflect the change in inventorship.

Attached hereto is a marked-up version of the changes made to the claims by the "Amendment". The attached page is captioned "Version with markings to show changes made."

1099169_1.DOC

Please direct further questions in connection with this Application to the undersigned at (415) 781-1989.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: /2///02

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Rener M. Kosslak, Reg. No. 47,717

Robin M. Silva, Reg. No. 38,304 Filed under 37 C.F.R. § 1.34(a)